

**PUBLIC GOVERNANCE AND TERRITORIAL DEVELOPMENT DIRECTORATE
REGULATORY POLICY COMMITTEE**

DRAFT ANNOTATED AGENDA

11th meeting of the Regulatory Policy Committee

**3-4 November 2014
The OECD Conference Centre
Paris, France**

This document presents a draft annotated agenda for the 11th meeting of the Regulatory Policy Committee, on 3-4 November 2014.

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11th session of the Regulatory Policy Committee
3-4 November 2014 (9h30 – 18h00)
The OECD Conference Centre (CC9)
Chair: Prof. Gary Banks, Australia

A welcome coffee will be served at 08h45 outside Room CC9 of the OECD Conference Centre

DAY 1: 3 NOVEMBER 2014

1.	09h30	<p>Adoption of the agenda Delegates are invited to adopt the agenda.</p> <p>Approval of the summary record of the 10th session of the Regulatory Policy Committee Delegates are invited to approve the summary record.</p> <p>Introductory remarks from Rolf Alter, Director, Public Governance and Territorial Development, OECD (tbc)</p>	<p><i>Action required: adoption of the agenda</i> GOV/RPC/A(2014)2/FINAL</p> <p><i>Action required: approval of the summary record</i> GOV/RPC/M(2014)2</p>
2.	09h45	<p>International Regulatory Co-operation (IRC)</p> <p>At the request of the RPC, the Secretariat has been working on building a corpus of practices in support of principle 12 of the <i>Recommendation of the Council on Regulatory Policy and Governance</i>. This work has proven to be relevant and timely in the current context of post-crisis recovery. There is a role for more coordinated regulatory responses to generate growth and address the challenges of globalisation. This session is a step in the process of developing best-practice principles on IRC over the medium term. In particular, this session will provide an opportunity for delegates to discuss new research on mutual recognition agreements, as well as upcoming work of the RPC on IRC as part of the RPC's 2015/2016 programme of work.</p> <ul style="list-style-type: none"> • Introduction by the Secretariat <p><i>Deepening of the IRC typology: the contribution of mutual recognition agreements to regulatory co-operation</i></p> <ul style="list-style-type: none"> • Presentation of the key findings by Jacques Pelkmans, Senior Fellow, The Centre for European Policy Studies (CEPS) • Open discussion with delegates <p><i>Next steps in the IRC work: IRC, trade and the role of International Organisations</i></p> <ul style="list-style-type: none"> • Presentation by the Secretariat on forthcoming work in the fields of rule-making of international organisations, and of trade agreements and regulatory convergence. • Update by John Drummond, Chair of the Working Party of the Trade Committee, on discussions within the Trade Committee on IRC. 	<p><i>Action required: for discussion</i></p> <p><i>Documents for reference</i> GOV/RPC(2014)10 GOV/RPC(2014)12 COM/GOV/TAD(2014)1 C(2014)108</p>

		<ul style="list-style-type: none"> Open discussion with delegates <p>Relevant on-going OECD activities</p> <ul style="list-style-type: none"> Presentation by the OECD Competition Division: <i>The 2014 Recommendation Concerning International Co-operation on Competition Investigations and Proceedings.</i> <p>Contact: Céline Kauffmann</p>	
	11h15	Coffee break	
3.	11h45	<p>Current country initiatives on better regulation</p> <ul style="list-style-type: none"> Presentation on recent Australian initiatives, Rebecca Cross, Department of the Prime Minister and Cabinet, Australia Presentation of the recent regulatory policy reforms in France, Pascal Schuster, Secrétariat Général du Gouvernement, France 	<p>Action required: for discussion</p> <p>Documents for reference Annex of the agenda</p>
	12h45	Lunch break / launch of the IRC publication (OECD Auditorium)	
4.	14h30	Accession session – see separate agenda	<p>Action required: for discussion</p> <p>GOV/RPC/ACS/A(2014)2</p>
	16h00	Coffee break	
5.	16h30	<p>Presentation of the draft report <i>Implementing RIA in Malaysia</i></p> <ul style="list-style-type: none"> Presentation by the Secretariat on implementing RIA in Malaysia <p>Southeast Asia Network on Regulatory Reform (SEA Network)</p> <ul style="list-style-type: none"> Presentation by the Secretariat on the Network’s programme of work and governance arrangements <p>Contact: Faisal Naru and James Sheppard</p>	<p>Action required: for discussion</p> <p>Documents for reference GOV/RPC/SEA(2014)1 GOV/RPC/SEA(2014)2</p>
6.	17h15	<p>Housekeeping and update on-going projects</p> <ul style="list-style-type: none"> Update on the Bureau renewal Update on the In-depth Evaluation of the RPC Presentation of the OECD horizontal project on “Aligning Policies for the Transition to a Low-Carbon Economy”, Richard Baron, OECD Update of the <i>Policy Framework for Investment</i>, Mike Pfister and Stephen Thomsen, OECD Investment Division <p>Contact: Nick Malyshev</p>	<p>Action required: for discussion</p> <p>Documents for reference GOV/RPC(2014)11 Annex of the agenda</p>
	18h00	RPC Cocktail – Le Buffet du Parc	

DAY 2: 4 NOVEMBER 2014

Roundtable on Regulatory Reform: the OECD Regulatory Policy Outlook

The sixth Roundtable is dedicated to a discussion of the key messages extracted from the preliminary Regulatory Policy Outlook. At the 10th RPC meeting in April 2014, delegates approved the proposed annotated outline [[GOV/RPC\(2014\)1](#)], which defined the Outlook as providing an overview of the state of the art practices for regulatory policy and governance across the OECD. The Outlook is structured in three parts:

- a part reviewing the global trends and challenges in regulatory policy
- a part drilling down in three areas of the Recommendation of the Council on Regulatory Policy and Governance: stakeholders' engagement; regulatory impact assessment; and ex-post evaluation
- country profiles

The four sessions of the 6th Roundtable aim to provide delegates with an opportunity to discuss draft messages emerging from the preliminary analysis of answers to the 2014 survey on Indicators and from insights from expert papers revisiting the key topic areas of the Outlook.

7.	09h30	<p>Session 1 – Global trends and challenges in regulatory policy</p> <p>Past Roundtable discussions provided a unique platform to discuss the strategic positioning of regulatory policy and its interface with the growth agenda of countries. Building on these past discussions, the Outlook questions the strategic use of regulatory policy by policy makers, captures recent evolutions in regulatory policy practices of countries based on the results of the new 2014 Indicators survey and identifies the emerging and innovative practices, as well as the challenges and frontiers of regulatory policy. Work by the RPC and others has for instance shown the growing importance of behavioural economics, international regulatory co-operation, the role of parliaments, subnational governments and other players in the everyday work of regulators. This session discusses some of the key messages emerging from the consideration of the recent trends and challenges in regulatory policy.</p> <ul style="list-style-type: none"> • <i>Overview of the development of the Regulatory Policy Outlook</i>, Céline Kauffmann and Christiane Arndt, OECD • <i>Major Trends and Emerging Issues</i>, Martin Lodge, Director, Centre for Analysis of Risk and Regulation, London School of Economics and Political Science • Open discussion with delegates <p><u>Questions for discussion</u></p> <ul style="list-style-type: none"> • What major trends are emerging in regulatory policy that will need to be considered more systematically by policy makers in the coming 10 years? • What are the challenges in using regulatory policy to its full potential to unlock economic and societal gains? • What can be a forward-looking agenda for regulatory policy? 	<p><i>Action required: for discussion</i></p> <p>Documents for reference</p> <p>GOV/RPC(2014)1/REV</p>
	11h00	Coffee break	

8.	11h30	<p>Session 2 – Regulatory Impact Assessment</p> <p>Regulatory Impact Assessment (RIA) is a tool and a system which helps policy makers design policies which are both evidence based and fit-for-purpose. The 2012 Recommendation of the Council on Regulatory Policy and Governance establishes the principles to integrate RIA into the early stages of the policy process for the formulation of new regulatory proposals. Yet, despite being one of the tools most widely adopted internationally as part of regulatory policy, effective implementation remains elusive in many cases. In light of the latest developments and good practices to employ RIA effectively, the session will focus on the main challenges in implementing RIA and seek to identify innovative practices to address these challenges.</p> <ul style="list-style-type: none"> • Presentation of the outline and preliminary survey results, Manuel Flores Romero and Rebecca Schultz, OECD • Presentation of key insights by Andrea Renda, Senior Research Fellow at the Centre for European Policy Studies (CEPS) • Open discussion with delegates <p><u>Questions for discussion:</u></p> <ul style="list-style-type: none"> • What are the main achievements that countries have reached in the implementation and practice of RIA over the past years? • What challenges in the implementation and practice of RIA remain unattended? • What innovative practices can be identified to tackle these challenges? 	<p><i>Action required: for discussion</i></p> <p><i>Documents for reference:</i></p> <p>GOV/RPC(2014)1/REV GOV/RPC/MRP(2014)3</p>
	13h00	Lunch break	
9.	14h30	<p>Session 3 – Stakeholders’ engagement</p> <p>The central objective of regulatory policy – ensuring that regulations are in the public interest – can only be achieved with help from those concerned: the stakeholders - citizens, businesses, NGOs, public sector organisations, etc. Governments recognise the need to “pay more attention to the voice of users, who need to be part of the regulatory development process”. However, stakeholders’ engagement remains a difficult undertaking and one for which a change in regulatory culture might strongly be needed. In light of the latest developments and good practices in stakeholders’ engagement across various policy areas, the session will focus on the impact of stakeholders’ engagement in the regulatory design process.</p> <ul style="list-style-type: none"> • Presentation of the outline and preliminary survey results, Daniel Trnka and Antonia Custance Baker, OECD • Presentation of key insights by Alberto Alemanno, Professor in EU Law & Risk Regulation at HEC, Paris • Open discussion with delegates <p><u>Questions for discussion</u></p>	<p><i>Action required: for discussion</i></p> <p><i>Documents for reference:</i></p> <p>GOV/RPC(2014)1/REV GOV/RPC/MRP(2014)3</p>

		<ul style="list-style-type: none"> • What are the main goals of stakeholders' engagement: transparency; legitimacy; improved ownership and compliance; or better quality of laws? • What initiatives and practices (e.g. Open Government Partnership) have proven successful and can support further progress in engaging stakeholders in regulatory policy? • Do public consultations contribute to the improved quality of regulations? How can it be evaluated? 	
	16h00	<i>Coffee break</i>	
10.	16h30	<p>Session 4 – Ex-post evaluation</p> <p>The importance of evaluating policies and regulatory interventions is generally appreciated among governments and parliaments. However, it has not yet been systematically implemented by regulators in most countries. This session will highlight the need for ex-post evaluation and will seek to identify tools and practices for its effective implementation.</p> <ul style="list-style-type: none"> • Presentation of the outline and preliminary survey results, Faisal Naru and Christiane Arndt, OECD • Presentation of key insights by Lorenzo Allio, Associate Professor of Law, HEC Paris/NYU School of Law • Open discussion with delegates <p><u>Questions for discussion</u></p> <ul style="list-style-type: none"> • What are the main achievements that countries have reached in the implementation and practice of ex-post evaluation over the past years? • What challenges in the implementation and practice for ex-post evaluation remain unattended? • What innovative practices can be identified to tackle these challenges? <p>Wrap up: Next steps and dissemination</p> <p>This last item for discussion will be an opportunity to discuss the timeline for delivering on the Outlook and to identify other ways to communicate the results of the survey (e.g. Government at a Glance, the Observatory for Public Sector Innovation, and a database on country practices on implementing the Recommendation of the Council on Regulatory Policy and Governance).</p>	<p><i>Action required: for discussion</i></p> <p><i>Documents for reference:</i></p> <p>GOV/RPC(2014)1/REV GOV/RPC/MRP(2014)3</p>
	18h00	<i>End of meeting</i>	