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| **\* Required Information** |

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| |  |  |  | | --- | --- | --- | | |  | | --- | | **APEC FotC-CLG Workplan: Mapping Corporate Governance Practices** | |  | | | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | | |  | | --- | | **BACKGROUND**  **The significance of Corporate Governance (CG) practices is to enhance decision-making efficiency, increase capital accessibility, mitigate important risks, and balance stakeholders' interests. Current issues on capital market, foreign direct investment,labor strikes, social inequality, gender gap in workforce, consumer protest, and environmental damage, and climate change have broadened CG horizon involving stakeholder interests. Hence, it needs to rethink inclusive Environment, Social and Governance (ESG) principles with corporate governance framework.**  **Amid the COVID-19 pandemic and towards recovery, the global community is urging businesses to pay more attention to sustainable corporate practices by including stakeholders’ interests in social and environmental development, such as unequal rights of employees, staggering plastic wastes, and climate change. In addition, financial institutions have emphasized green investment to create a sustainable economy. Investors pay more attention to green corporate performance, on how business impacts social development and environmental protection.**  **This study aims to shed light on CG practices with ESG consideration. The result is important to reveal that stakeholder's interests can still be relevant as the element of CG practices and to obtain knowledge of CG implementation in accordance to social and environmental development. Economies member benefits from knowledge about the current implementation of diffusing ESG in CG implementation. These will enhance CG implementation in the future and adaptation needed due to uncertainty and post-crisis so economies can achieve fast recovery and remain resilient in the future shock.** | | | | |  | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **1.** | **Where is your company located among APEC Member Economies ? Australia; Brunei Darussalam; Canada; Chile; People's Republic of China; Hong Kong, China; Indonesia; Japan; Republic of Korea; Malaysia; Mexico; New Zealand; Papua New Guinea; Peru; The Philippines; The Russian Federation; Singapore; Chinese Taipei; Thailand; United States of America; Viet Nam** | | |  | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **2.** | **What is a board system which is applied in your corporation? - One tier (unitary) board structure if managerial and supervisory powers work together in single board of director. - Two tier board structure if managerial and supervisory powers are separated into two board structures. - Hybrid or other board structure. (Select one option)** | | | |  |  |  |  | | --- | --- | --- | --- | |  |  | One Tier |  | |  |  | Two Tier |  | |  |  | Other board structure |  | |  |  | Hybrid or other board structure (Please specify)  \_\_\_\_\_\_\_\_\_\_ |  | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **3.** | **What is your role in your corporation? (Select one option)** | | | |  |  |  |  | | --- | --- | --- | --- | |  |  | Board of director |  | |  |  | Top Level Management |  | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **4.** | **What is industry sector in which your work? (Select one option)** | | | |  |  |  |  | | --- | --- | --- | --- | |  |  | Retail |  | |  |  | Energy |  | |  |  | Banking |  | |  |  | Infrastructure |  | |  |  | Communication |  | |  |  | Information and Technology |  | |  |  | Other industry |  | |  |  | Other industry (Please specify)  \_\_\_\_\_\_\_\_\_\_ |  | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **5.** | **How much is the approximate total asset of your company in 2021? (Select one option)** | | | |  |  |  |  | | --- | --- | --- | --- | |  |  | $50millions - $100millions |  | |  |  | $101millions - $500millions |  | |  |  | $500millions - $1billions |  | |  |  | >$1billions |  | |  |  | other |  | |  |  | Other (Please specify)  \_\_\_\_\_\_\_\_\_\_ |  | | | | | |  | | --- | | Page 1 of 6 | | |  | | --- | | **Enhancing GCG Assessment Criteria for more Sustainable Practices** | | | |
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| |  |  |  | | --- | --- | --- | | |  | | --- | | **APEC FotC-CLG Workplan: Mapping Corporate Governance Practices** | |  | | | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | | |  | | --- | | **SPECIAL INSTRUCTIONS: On this section, you are asked to provide a response that best fits your opinion or perception regarding the statement.  Respondent is required to value statements below with grading scale from: 1=strongly disagree, 2=disagree, 3=somewhat disagree, 4=somewhat agree, 5=agree, and 6=strongly agree  ESG Concept in The CG Framework** | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **6.** | **The implementation of Corporate Governance (CG) should be strengthened with sustainability practices (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **7.** | **Respective board, director, and shareholder should give more value on ESG policy, since stakeholder enforcement on green investment, employee diversity, social development, environmental protection, and national strategy on climate change becomes prominent these days (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **8.** | **CG framework should encourage sustainability development as mid-term and long-term goals (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **9.** | **The Annual General Meeting should have included reporting on ESG strategy and its implementation (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | | |  | | --- | | Page 2 of 6 | | |  | | --- | | **Enhancing GCG Assessment Criteria for more Sustainable Practices** | | | |
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| |  |  |  | | --- | --- | --- | | |  | | --- | | **APEC FotC-CLG Workplan: Mapping Corporate Governance Practices** | |  | | | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | | |  | | --- | | **Implementation of ESG** | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **10.** | **Your corporation has established a division/function to handle social and environmental issues and to implement ESG policy (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **11.** | **Your corporation has an integrated business ethic that has been considering social and environmental protection in business process (such as gender equality in decision making process, fairly employee's right, consumer diversity, sustainable raw material, manufacturing, packaging, and distributing process) (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **12.** | **The board of director of your corporation has evaluated ESG performance (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | |  | | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | | |  | | --- | | **SPECIAL INSTRUCTIONS: On this page, you are asked to choose one response or opinion that best fits the statement given. This question was created to find out the best governance practices in your company in relation to environment, social, and governance (ESG).** | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **13.** | **Does your corporation have a road map of green investment as a long term strategy to achieve green governance (from supply chain to production process)? (Select one option)** | | | |  |  |  |  | | --- | --- | --- | --- | |  |  | Yes |  | |  |  | No |  | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **14.** | **Do you agree that the ESG oversight is considered in the CG framework of your corporation (code of CG) (Select one option)** | | | |  |  |  |  | | --- | --- | --- | --- | |  |  | Yes |  | |  |  | No |  | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **15.** | **Does your corporation have policy that requires supplier/contractor engagement processes conforming with environmental standard?  (Select one option)** | | | |  |  |  |  | | --- | --- | --- | --- | |  |  | Yes |  | |  |  | No |  | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | | **16.** | **(If yes) whether such policy is published on your corporation's website?  (Select one option)** | | | |  |  |  |  | | --- | --- | --- | --- | |  |  | Yes |  | |  |  | No |  | | | | |  | | |  |  |  |  |  | | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | | **17.** | **Can you give an example of your company's sustainability initiatives towards protecting the environment and sustainability?** | | |  | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **18.** | **Are there corporate policy or mechanisms in place within your corporation to enforce corporate disclosure, especially for ESG issues? (Select one option)** | | | |  |  |  |  | | --- | --- | --- | --- | |  |  | Yes |  | |  |  | No |  | | | | | |  | | --- | | Page 3 of 6 | | |  | | --- | | **Enhancing GCG Assessment Criteria for more Sustainable Practices** | | | |
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| |  |  |  | | --- | --- | --- | | |  | | --- | | **APEC FotC-CLG Workplan: Mapping Corporate Governance Practices** | |  | | | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | | |  | | --- | | **Shareholder engagement and protecting minority interest** | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **19.** | **Shareholders have voiced out sustainability as an integral part of corporate value (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **20.** | **The vote right of minority shareholders are fairly treated. To support their interest, access to sufficient information is permitted as equal as the major shareholders. When they concern in corporate action failed to meet ESG principle, your corporation has a mechanism to resolve the dispute between the minority and major shareholders with accountable and transparent manner.    (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **21.** | **Shareholders activists (institutional investors) believe that ignoring ESG factors is detrimental on return of investment (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **22.** | **Shareholders demand boards to develop sustainable business transition towards climate change, such as using eco-friendly technology, replacing carbon based energy, and employee's right protection   (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **23.** | **Your corporate shareholders has proposed collaborative action in performing ESG strategy to enhance corporate value (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | | |  | | --- | | Page 4 of 6 | | |  | | --- | | **Enhancing GCG Assessment Criteria for more Sustainable Practices** | | | |
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|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| |  |  |  | | --- | --- | --- | | |  | | --- | | **APEC FotC-CLG Workplan: Mapping Corporate Governance Practices** | |  | | | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | | |  | | --- | | **Board Practices in corporate sustainability** | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **24.** | **Sustainability is included in the Board oversight agenda of your corporation (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **25.** | **Reporting related to sustainability is an important concern for the Board of your corporation (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | |  | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **26.** | **The Board of your corporation ensures that effective means for monitoring environmental, social and governance risks are in place (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | | |  | | --- | | Page 5 of 6 | | |  | | --- | | **Enhancing GCG Assessment Criteria for more Sustainable Practices** | | | |
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|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| |  |  |  | | --- | --- | --- | | |  | | --- | | **APEC FotC-CLG Workplan: Mapping Corporate Governance Practices** | |  | | | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | | |  | | --- | | **Annual General Meeting (AGM) and company disclosure policy** | | | | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **27.** | **The Annual General Meeting of your corporation has facilitated stakeholder participation to raise their voice about corporate's ESG policy (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **28.** | **Due to the pandemic, the Annual General Meeting (AGM) could not gather all shareholder, boards, and top management in a physical venue. It affected decision making process for urge matters. On the one hand, safety and health was at risk when COVID19 spreading rapidly. On the other hand, corporation faced strategic risks from employees, market, investors, and society. Hence, your corporation shifted AGM through digital venue and adequately facilitated vote, especially for minority shareholder (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **29.** | **The integrated annual report of your corporation includes all elements in ESG framework, consisted of environmental, social and governance (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **\*** | **30.** | **Your corporation has evaluated the effectiveness of the enterprise risk management during the Covid-19 pandemic (Select one option)** | | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | 1 | 2 | 3 | 4 | 5 | 6 | |  |  |  |  |  |  | |  |  |  |  |  |  | | |  |  | | --- | --- | |  | N/A | | | | | | | | | | | |  | | --- | | Page 6 of 6 | | |  | | --- | | **Enhancing GCG Assessment Criteria for more Sustainable Practices** | | | |